

Non-destructive testing of steel forgings

- Part 4: Ultrasonic testing of austenitic and austenitic-ferritic stainless steel forgings (BS EN 10228-4:1999)

1 Scope

This part of EN 10228 specifies methods for the manual, pulse-echo, ultrasonic testing of forgings manufactured from austenitic and austenitic-ferritic stainless steels. Mechanized scanning techniques, such as immersion testing, may be used but should be agreed between the purchaser and supplier.

This part of EN 10228 applies to four types of forgings, classified according to their shape and method of production. Types 1, 2 and 3 are essentially simple shapes. Type 4 covers complex shapes.

This part of EN 10228 does not apply to:

- rolled bars;
- closed die forgings;
- turbine rotor and generator forgings.

Ultrasonic testing of ferritic and martensitic steel forgings is the subject of part 3 of this European Standard.

2 Normative references

This part of EN 10228 incorporates, by dated or undated reference, provisions from other publications. These normative references are cited at the appropriate places in the text and the publications are listed hereafter. For dated references, subsequent amendments to or revisions of any of these publications apply to this part of EN 10228 only when incorporated in it by amendment or revision. For undated references the latest edition of the publication referred to applies.

EN 473 Qualification and certification of NDT personnel

prEN 583-2 Non-destructive testing - Ultrasonic examination - Part 2: Sensitivity and range setting

prEN 583-5 Non-destructive testing - Ultrasonic examination - Part 5: Characterization and sizing of discontinuities

EN 1330-1 Non-destructive testing - Terminology - Part 1: List of general terms

prEN 1330-4 Non-destructive testing - Terminology - Part 4: Terms used in ultrasonic testing

prEN 12223 Ultrasonic examination - Calibration blocks

prEN 12668-1 Non-destructive testing - Characterization and verification of ultrasonic examination equipment - Part 1: Instruments

prEN 12668-2 Non-destructive testing - Characterization and verification of ultrasonic examination equipment - Part 2: Probes

prEN 12668-3 Non-destructive testing - Characterization and verification of ultrasonic examination equipment - Part 3: Combined equipment

3 Definitions

The definitions given in EN 1330-1 and prEN 1330-4 apply.

4 Items for agreement

The following aspects concerning ultrasonic testing shall be agreed between the purchaser and supplier at the time of the enquiry or order:

- a) the volume(s) to be tested and whether grid scanning coverage or 100% scanning coverage is required (see clause 12);
- b) whether near surface examination is required (see 7.2.6);
- c) the quality class required, or the quality classes and the zones to which they apply (see clause 14);
- d) whether any special scanning coverage, equipment or couplant is required in addition to that detailed in clauses 7 and 12;
- e) the scanning technique to be used if not manual (see clause 1);
- f) the sizing techniques to be used for extended discontinuities (see clause 15);
- g) the technique(s) to be used for setting sensitivity (see clause 11);
- h) whether the test is to be conducted in the presence of the purchaser or his representative;
- i) whether a written procedure shall be submitted for approval by the purchaser (see clause 5);
- j) whether examination by shear wave probes is required (see 11.3);
- k) the remaining examination requirements for complex forgings (type 4) (see 12.2).

5 Written procedure

Ultrasonic testing shall be performed in accordance with a written procedure. Where specified in the enquiry or order, the written procedure shall be submitted to the purchaser for approval prior to testing.

This written procedure shall be in the form of:

– a product specification; or – a procedure written specifically for the application; or – this part of EN 10228 may be used if it is accompanied by examination details specific to the application.

The written procedure shall contain the following details as a minimum requirement:

- a) description of the item to be examined;
- b) reference documents;
- c) qualification and certification of examination personnel;
- d) stage of manufacture at which the examination is carried out;
- e) examination zones specified in terms of the applicable quality classes;
- f) preparation of scanning surfaces;
- g) couplant;
- h) description of examination equipment;
- i) calibration and settings;
- j) scanning plan;
- k) description and sequence of examination operations;
- l) recording levels;
- m) characterization of discontinuities;
- n) acceptance criteria;
- o) examination report.

6 Personnel qualification

Personnel shall be qualified and certificated in accordance with the requirements detailed in EN 473.

7 Equipment and accessories

7.1 Flaw detector

The flaw detector shall feature A-scan presentation and shall conform to the requirements of prEN 12668-1.

7.2 Probes

7.2.1 General

Probes used for the initial detection of defects shall conform to prEN 12668-2. Where supplementary probes are used for purposes other than the initial detection of defects, they need not conform to prEN 12668-2.

7.2.2 Contouring

When required, probes shall be contoured in accordance with prEN 583-2.

7.2.3 Nominal frequency

Probes shall have a nominal frequency in the range from 0,5 MHz to 6 MHz.

7.2.4 Normal probes

Effective crystal diameter shall be in the range from 10 mm to 40 mm.

7.2.5 Shear wave probes

Shear wave probe beam angles shall be in the range from 35° to 70°.

Effective crystal area shall be in the range from 20 mm² to 625 mm².

7.2.6 Twin crystal probes

If near-surface examination is required, then twin crystal probes shall be used.

7.3 Calibration blocks

Calibration blocks shall conform to the requirements detailed in prEN 12223.

7.4 Reference blocks

Reference blocks shall be used when sensitivity is to be established by the distance amplitude curve (DAC) technique and/or when defects are to be sized in terms of amplitude relative to reference reflectors by the DAC technique. The surface condition of the reference block shall be representative of the surface condition of the part to be examined. Unless otherwise specified,

the reference block shall contain at least three reflectors covering the entire depth range under examination.

The reference block shall be manufactured from one of the following:

a) an excess length of the part to be examined, or b) a part of the same material and with the same heat treatment condition as the part to be examined; or c) a part having similar acoustic properties to the part to be examined.

Reference blocks shall not be used for the distance gain size (DGS) technique other than for checking the accuracy of a particular DGS diagram.

NOTE Different sizes of reflectors from those detailed in Tables 5 and 6 may be used as long as the test sensitivity is corrected accordingly.

7.5 Couplant

The same type of couplant shall be used for calibration, setting sensitivity, scanning and defect assessment.

NOTE Examples of suitable couplants are: water (with or without corrosion inhibitor or softener), grease, oil, glycerol and water-cellulose paste.

After examination, couplant shall be removed if its presence could adversely affect later manufacturing or inspection operations or the integrity of the component.

8 Routine calibration and checking

The combined equipment (flaw detector and probes) shall be calibrated and checked in accordance with the requirements detailed in prEN 12668-3.

9 Stage of manufacture

Ultrasonic testing shall be performed after the final quality heat treatment or at the latest stage of manufacture at which the required ultrasonic coverage can be achieved.

NOTE For both cylindrical and rectangular forgings which are to be bored, it is recommended to carry out ultrasonic testing before boring.

10 Surface condition

10.1 General

Surfaces to be scanned shall be free from paint, non-adhering scale, dry couplant, surface irregularities or any other substance which could reduce coupling efficiency, hinder the free movement of the probe or cause errors in interpretation.

10.2 Surface finish related to quality class

In the machined condition, for testing to quality classes 1 and 2, a surface finish corresponding to a roughness $R_a \leq 12,5$ mm shall be produced and for testing of quality class 3, a surface finish corresponding to a roughness $R_a \leq 6,3$ mm shall be produced.

10.3 As-forged surface condition

Where forgings are supplied in the as-forged surface condition they shall be considered acceptable providing the specified quality class can be achieved. When it is not practical to perform a comprehensive examination on as-forged surfaces, shot blasting, sand blasting or surface grinding shall be used to ensure that acoustic coupling can be maintained.

NOTE Only quality class 1 is normally applicable.

11 Sensitivity

11.1 General

Sensitivity shall be sufficient to ensure the detection of the smallest discontinuities required by the recording levels (see Table 4 and when required, Tables 5 or 6). If the required sensitivity cannot be achieved due to coarse grain size, acceptance of the forging shall be subject to agreement between the purchaser and supplier.

11.2 Normal probes

For normal probes, one of the following techniques shall be used to establish sensitivity for scanning:

- a) distance amplitude curve (DAC) technique, based upon the use of flat bottomed holes;

b) distance gain size (DGS) technique.

The procedure to be used in each case shall be in accordance with prEN 583-2.

11.3 Shear-wave probes (see 4j)

For shear-wave probes, one of the following techniques shall be used to establish sensitivity for scanning:

a) DAC technique using 3 mm diameter side-drilled holes;

b) DGS technique.

The procedure to be used in each case shall be as detailed in prEN 583-2.

The DAC and DGS techniques shall not be compared for shear wave probes.

11.4 Repeat inspection

Where repeat inspection is performed, the same method of establishing sensitivity (DAC or DGS) shall be used as was initially used.

12 Scanning

12.1 General

Scanning shall be performed using the manual contact pulse-echo techniques.

The minimum scanning coverage required is dictated by the type of forging and whether grid scanning coverage or 100% scanning coverage has been specified in the enquiry or order.

Table 1 classifies four types of forging according to their shapes and method of production.

Scanning coverage of forging types 1, 2 and 3 with normal probes shall be as given in Table 2.

Scanning coverage with shear-wave probes for forging types 3a and 3b which have outside diameter to inside diameter ratio of less than 1,6:1 shall be as given in Table 3. The effective depth of circumferentially orientated shear wave scans is limited by the probe angle and the forging diameter (see annex A).

12.2 Complex forgings

For complex shaped forgings or complex shaped parts of forgings (type 4) and small diameter forgings, examination shall include, as a minimum, the required probe angles, scanning directions and extent of scanning coverage (grid or 100%). The remaining requirements shall be agreed between purchaser and supplier (see 4k).

12.3 Grid scanning coverage

Grid scanning shall be performed with the probe or probes traversed along the grid lines defined in Tables 2 and 3.

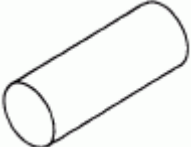
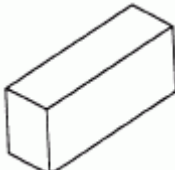

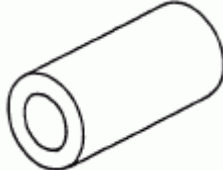
Where recordable indications are revealed by grid scanning, additional scanning shall be performed to determine the extent of the indications.

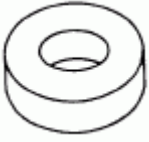
12.4 100% scanning coverage

100% scanning coverage shall be performed over the surfaces specified in Tables 2 and 3. Consecutive probe traverses shall overlap by at least 10% of the effective probe diameter.

12.5 Scanning speed

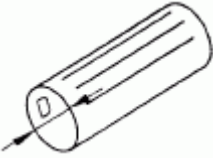
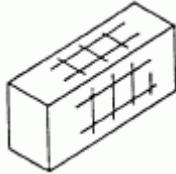

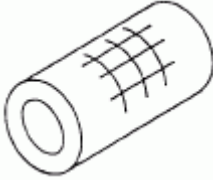
Manual scanning speed shall not exceed 150 mm/s.

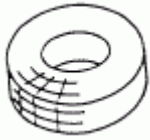
| Table 1: Classification of forgings according to their shape and method of production | | |
|---|--|----------------------|
| Type | Shape | Method of production |
| 1a ¹⁾ | Elongated with round or approximately round section, e.g. bars, rods, cylinders, shafts, journals, discs cut from bars  | Direct forged. |
| 1b ¹⁾ | Elongated with rectangular or approximately rectangular section, e.g. bars, rods, blocks, sections cut from bars  | |
| 2 ²⁾ | Flattened, e.g. discs, plate, flywheels  | Upset |
| 3a | Hollow cylindrical shapes, e.g. bottles, compressed gas tanks  | Mandrel forged |

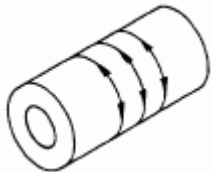

| | | |
|----|---|-----------------------------------|
| 3b | Hollow cylindrical shapes, e.g. rings, flanges, rims | Expanded |
| 3c |  | Circular laminating |
| 4 | All forgings or parts of forgings with complex shape | At the manufacturer' s discretion |

1)Type 1 forgings may incorporate bores of small diameter relative to the major dimensions
2)Type 2 forgings may eventually be drilled (e.g. binding discs)

Table 2 : Scanning coverage with normal probes

| Type | Grid Scanning ¹⁾ | 100% scanning ¹⁾²⁾ | | |
|------|---|---|--|---|
| 1 | 1a  | Diameter, D mm $D \leq 200$ $200 < D \leq 500$ $500 < D \leq 1000$ $1000 < D$ | Scan lines ³⁾ 2 at 90° 3 at 60° 4 at 45° | Scan 100% around at least 180° of cylindrical surface |
| | 1b  | Scan along the lines of a square-link grid on two perpendicular surfaces ³⁾⁴⁾ | | |
| 2 |  | Scan along the lines of a square-link grid around 360° on the cylindrical surface and one lateral surface ⁴⁾ | | Scan 100% around at least 180° on the cylindrical surface and 100% of one lateral surface |
| 3 | 3a  | Scan along the lines of a square-link grid around 360° on the outer cylindrical surface ⁴⁾ | | Scan 100% around 360° on the outer cylindrical surface |

| | | | |
|---|--|---|---|
| | 3b and 3c  | Scan along the lines of a square-link grid around 360° on the outer cylindrical surface and one lateral surface ⁴⁾ | Scan 100% around 360° on the outer cylindrical surface and on the lateral surface |
| 4 Scanning coverage shall be specified in the enquiry or order | | | |
| <p>1) Additional scanning (for example in both axial directions for type 3a) may be carried out if specified in the enquiry or order.</p> <p>2) 100% means at least 10% probe overlap between consecutive probe traverses.</p> <p>3) For types 1a or 1b, if the presence of a bore prevents the opposite surface being reached, the number of scan lines shall be doubled symmetrically.</p> <p>4) The grid line separation shall be equal to the part thickness up to a maximum of 200 mm.</p> | | | |

| Table 3 - Scanning coverage with shear wave probes | | | |
|--|---|---|--|
| Type | Grid scanning ¹⁾ | | 100% scanning ¹⁾²⁾ |
| 3 | 3a  | Scan in both directions along 360° circumferential grid lines, the separation of which is equal to the radial thickness up to a maximum of 200 mm | Scan in both circumferential directions over 100% on the outer cylindrical surface |
| 3b  | | | |
| 4 Scanning coverage shall be specified in the enquiry or order | | | |
| <p>1) Additional scanning coverage may be carried out if specified in the enquiry or order.</p> <p>2) 100% means at least 10% probe overlap between consecutive probe traverses.</p> | | | |

13 Classification

13.1 Classification of indications

Where practicable classification of indications according to their echodynamic pattern shall be made by scanning from a minimum of two mutually perpendicular directions.

a) Pattern 1

As the probe is moved, the A-scan display shows a single sharp indication rising smoothly in amplitude to a maximum and then falling smoothly to zero (see Figure 1a).

This A-scan display in combination with the echodynamic pattern in Figure 1b obtained from the side-drilled holes used to plot the beam profile, corresponds to discontinuity dimensions smaller than or equal to the -6 dB beam profile.

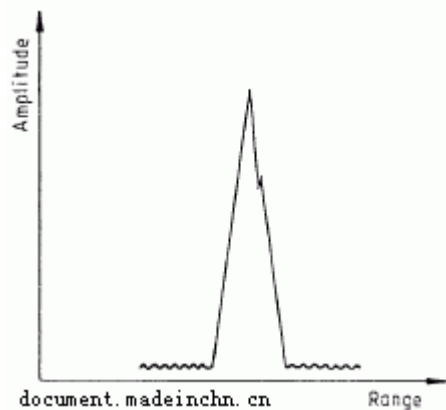


Figure 1a: A-scan presentation
(At typical probe position)

Figure 1 : Pattern 1 A-scan presentation and echo envelope presentation

b) Pattern 2

As the probe is moved, the A-scan display shows a single sharp indication rising smoothly in amplitude to a maximum and then falling smoothly to zero (see Figure 2a). This A-scan display in combination with the echodynamic pattern in Figure 2b obtained from the side-drilled holes used to plot the beam profile, corresponds to discontinuity dimensions greater than the to -6 dB beam profile.

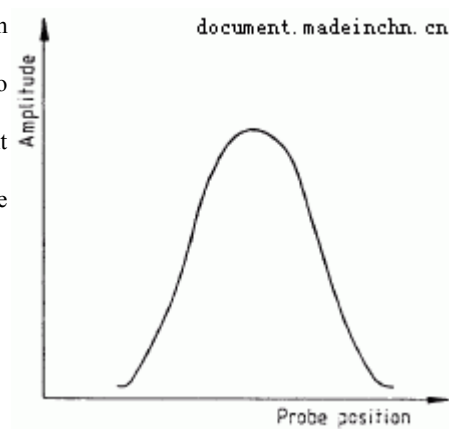


Figure 1b: Echodynamic pattern
(Variation in signal amplitude as probe is moved)

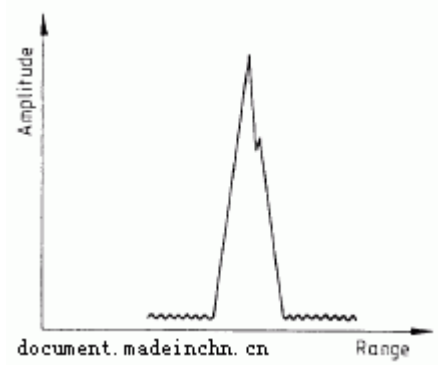


Figure 2a: A-scan presentation
(At typical probe position)

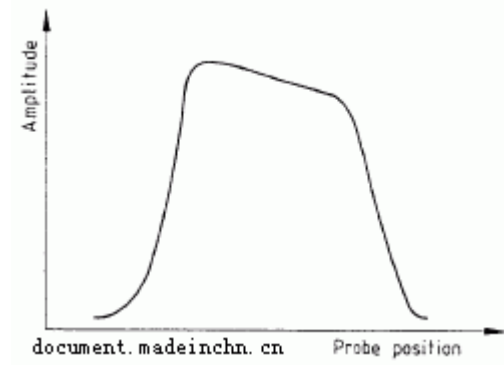


Figure 2b: Echodynamic pattern
(Variation in signal amplitude as probe is move
d)

Figure 2 - Pattern 2 A-scan presentation and echo envelope presentation

13.2 Classification of discontinuities

Discontinuities shall be classified according to their echodynamic patterns as follows:

a) Point discontinuity

Echodynamic pattern 1 and/or dimension equal to or less than the -6 dB beam width (see Figure 3a).

b) Extended discontinuity

Echodynamic pattern 2 and/or dimension greater than the -6 dB width (see Figure 3b).

c) Isolated discontinuities

The distance d , between points corresponding to the maxima of the indications of adjacent discontinuities exceeds 40 mm (see Figure 3c).

d) Grouped discontinuities

The distance d , between points corresponding to the maxima of the indications of adjacent discontinuities is less than or equal to 40 mm (see Figure 3d).

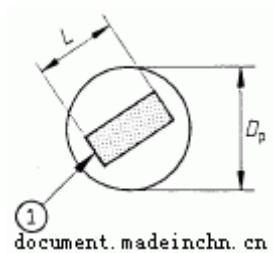


Figure 3a: Point discontinuity
($L \leq D_p$)

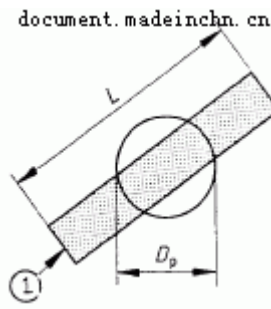


Figure 3b: Extended discontinuity
($L > D_p$)

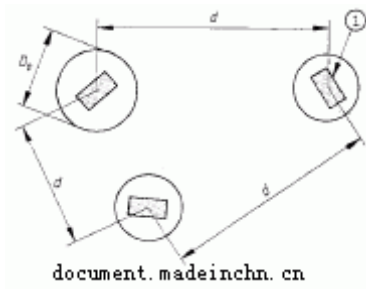


Figure 3c: Isolated point discontinuities

($L \leq D_p$, $d > 40\text{mm}$)

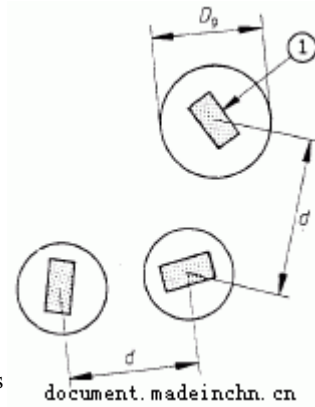


Figure 3d: Grouped point discontinuities

($L \leq D_p$, $d \leq 40\text{mm}$)

Symbols used:

1: Conventional outline of -6 dB discontinuity

D_p : Width of beam at depth of discontinuity

d : Distance between two discontinuities

L : Conventional length of -6 dB discontinuity

14 Recording levels and acceptance criteria

Several quality classes may be applied to a forging or to parts of a forging. The applicable quality class(es) shall be agreed between the purchaser and supplier. Table 4 details recording levels and acceptance criteria which shall be applied to three quality classes for normal probes.

Tables 5 and 6 detail recording levels and acceptance criteria which shall be applied for shear waves.

| Table 4: Quality classes recording and acceptance criteria for normal probes 1) | | | |
|---|--|---|--|
| Forging thickness t mm | Recording level $d_{eq}^{2)}$ mm | Acceptance criteria for | |
| | | Isolated discontinuities $d_{eq}^{2)}$ mm | Extended or grouped discontinuities $d_{eq}^{2)}$ mm |
| Quality class 1 | | | |
| $t \leq 75$ | > 5 | ≤ 8 | ≤ 5 |
| $75 < T \leq 2$ | > 8 | ≤ 11 | ≤ 8 |

| | | | |
|----------------------------|---|---|------|
| 50 < t d > | | | |
| 250 < T ≤ 400 < t > 14 d > | | ≤ 19 | ≤ 14 |
| t > 400 | Indication with a 80 % reduction of backwall echo | Indication with a total loss of backwall echo. A total loss of backwall echo is to be considered when its amplitude becomes less than 5% of its initial value measured near the indication or less than or equal to grass | |
| Quality class 2 | | | |
| t ≤ 75 | > 3 | ≤ 5 | ≤ 3 |
| 75 < T ≤ 250 < t > 5 d > | | ≤ 8 | ≤ 5 |
| 250 < T ≤ 400 < t > 8 d > | | ≤ 11 | ≤ 8 |
| 400 < T ≤ 600 < t > 11 d > | | ≤ 15 | ≤ 11 |
| t > 600 | Indication with a 80 % reduction of backwall echo | Indication with a total loss of backwall echo. A total loss of backwall echo is to be considered when its amplitude becomes less than 5% of its initial value measured near the indication or less than or equal to grass | |
| Quality class 3 | | | |

| | | | |
|--|--|---|----------|
| $t < 75$ | > 2 | ≤ 3 | ≤ 2 |
| $75 < T \leq 250$ | $50 < t_d > 3$ | ≤ 5 | ≤ 3 |
| $250 < T \leq 400$ | $400 < t_d > 5$ | ≤ 8 | ≤ 5 |
| $400 < T \leq 600$ | $600 < t_d > 8$ | ≤ 11 | ≤ 8 |
| $t > 600$ | Indication with a 80% reduction of backwall echo | Indication with a total loss of backwall echo. A total loss of backwall echo is to be considered when its amplitude becomes less than 5% of its initial value measured near the indication or less than or equal to grass | |
| <p>1) Reflector diameter shall not be interpreted as representing the dimension of the discontinuity which caused the echo.</p> <p>2) d_{eq} = Equivalent diameter of flat-bottomed hole.</p> | | | |

Table 5: Recording and acceptance criteria for shear waveprobes for DGS techniques

| Forging thickness t mm | Recording level $d_{eq}^{1)}$ mm | Acceptance criteria for | |
|--------------------------------|--|---|--|
| | | Isolated discontinuities d_{eq} mm | Extended or grouped discontinuities d_{eq} mm |
| $t \leq 75$ | > 3 | ≤ 5 | ≤ 3 |
| $t \leq 75$ | > 5 | ≤ 8 | ≤ 5 |
| $250 < T \leq 400^{2)}$ | > 8 | ≤ 11 | ≤ 8 |

1) d_{eq} = Equivalent diameter of flat bottomed hole.

2)For thicknesses >400mm testing shall be as agreed between purchaser and supplier.

Table 6: Recording and acceptance criteria for shear wave probes for DAC technique 1)2)3)

| Forging thickness mm | Nominal test frequency MHz | Recording level % | Acceptance criteria for | |
|-----------------------------|-------------------------------|----------------------|-------------------------------|--|
| | | | Isolated discontinuities % | Extended or grouped discontinuities % |
| $t \leq 75$ | 1 | 30 | 60 | 30 |
| | 2 | 50 | 100 | 50 |
| $t \leq 75$ | 1 | 50 | 100 | 50 |
| | 2 | 100 | 200 | 100 |
| $250 < T \leq 400 < t$ > | 1 | 100 | 200 | 100 |
| | 2 | 200 | 400 | 200 |

1)Based on 3 mm diameter side-drilled holes.

2)A DAC based on 3 mm diameter side drilled holes shall be constructed for each frequency of probe used.

3)The indication amplitude in dB, relative to the DAC, is given in annex B.

15 Sizing

Where the extent of a discontinuity is required to be evaluated, one or more of the following techniques, as agreed between the purchaser and the supplier, shall be used. These techniques shall be carried out in accordance with the requirements detailed in prEN 583-5.

- a) 6 db drop tip location technique;
- b) 20 db drop tip location technique;
- c) beam axis tip location technique.

16 Reporting

All tests shall be the subject of a written report which shall include the following information as a minimum requirement:

- a) name of supplier;
- b) order number;
- c) identification of forging(s) under examination;
- d) scope of examination: examination zones and applicable quality classes;
- e) stage of manufacture at which ultrasonic testing was performed;
- f) surface condition;
- g) equipment used
- h) technique(s) used to set sensitivity;
- i) reference to this standard and, if applicable, reference to the written procedure used;
- j) results of examination: location, classification and amplitude (in terms of FBH-equivalent diameter, or in percent of SDH) of all discontinuities exceeding the appropriate recording level;
- k) details of any restrictions to the required scanning coverage and if applicable the extent of the near surface zone;
- l) date of examination;
- m) name, qualification and signature of operator.

Annex A (informative)

Maximum testable depth for circumferential shear wave scans

Maximum testable depths (see Figure A.1) for circumferential shear-wave scans, for various probe angles and outside radii, are given in Table A.1.

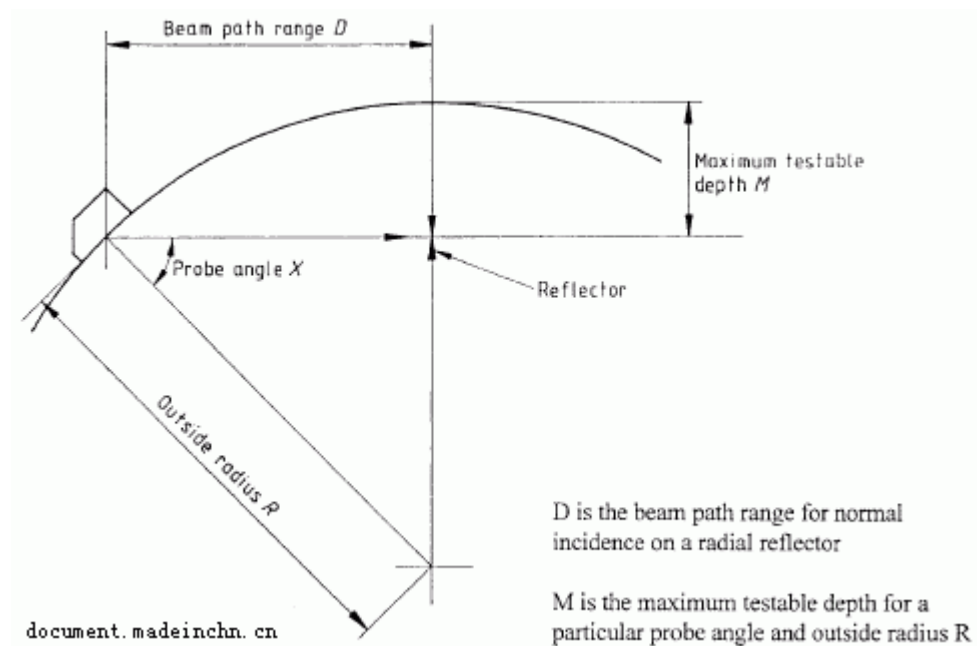


Figure A.1 - Maximum testable depth for circumferential shear wave scans

| Probe angle X | Maximum test depth, M | Beam path range, D |
|-----------------|-------------------------|----------------------|
| 70° | 0.06R | 0.34R |

| | | |
|---|-------|-------|
| 60° | 0.13R | 0.50R |
| 50° | 0.24R | 0.64R |
| 45° | 0.30R | 0.70R |
| 35° | 0.42R | 0.82R |
| <p>NOTE The maximum testable depth and beam path range to maximum testable depth, are given in terms of the outside radius R, of the forging for radial reflectors.</p> <p>The beam path range, D, values shown can effectively be doubled.</p> | | |

Annex B (informative)

dB amplitude of indication relative to % DAC

As an alternative to constructing a DAC which is a percentage of the 3 mm diameter side drilled hole DAC (100% DAC), the required recording/acceptance level may be achieved by constructing the 3 mm DAC (100% DAC) and adjusting the amplitude according to Table B.1.

| Table B.1 - dB amplitude relative to % DAC | |
|---|--|
| DAC % | Amplitude of indication relative to DAC dB |
| 25 | -12 |
| 30 | -10 |
| 50 | -6 |
| 60 | -4 |
| 100 | 0 |
| 200 | +6 |
| 400 | +12 |

Annex Z (informative)

Clauses of this European Standard addressing essential requirements or other provisions of EU Directives.

This European Standard has been prepared under a mandate given to CEN by the European Commission and supports essential requirements of EU Directive 97/23/EC.

Warning: Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this European Standard.

The clauses of this European Standard are likely to support the essential requirements of section 4 of annex 1, “Essential safety requirements” of the Pressure Equipment Directive 97/23/EC.

Compliance with this European Standard provides one means of conforming with the specific essential requirements of the Directive concerned.